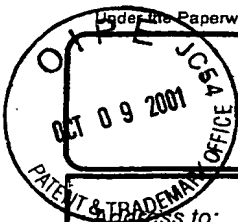


CPA/1723

10 10 -01

PTO/SB/29 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE



# CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL

Submit an original, and a duplicate for fee processing.  
(Only for Continuation or Divisional applications under 37 CFR 1.53(d))

CHECK BOX, if applicable:  
☐ DUPLICATE

Address to:  
**Assistant Commissioner for Patents  
Box CPA  
Washington, DC 20231**

Attorney Docket No. of Prior Application	MDO-2471-U-D1
First Named Inventor	MUKHOPADHYAY, D.
Examiner Name	FORTUNA, A
Group Art Unit	1723
Express Mail Label No.	ET525570418US

This is a request for a ☒ continuation or ☐ divisional application under 37 CFR 1.53(d),  
(continued prosecution application (CPA)) of prior application number 09 / 243,231  
filed on 02/02/99, entitled HIGH PURITY WATER PRODUCED BY REVERSE OSMOSIS

RECEIVED  
OCT 12 2001  
TC 1700

## NOTES

**FILING QUALIFICATIONS:** The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 CFR 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. Effective May 29, 2000, a CPA may only be filed in a utility or a plant application if the prior nonprovisional application was filed before May 29, 2000. A CPA may be filed in a design application regardless of the filing date of the prior application. See "Request for Continued Examination Practice changes to and Provisional Application Practice," Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office (Apr. 11, 2000).

**C-I-P NOT PERMITTED:** A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be filed under 37 CFR 1.53(b).

**EXPRESS ABANDONMENT OF PRIOR APPLICATION:** The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

**ACCESS TO PRIOR APPLICATION:** The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

**35 U.S.C. 120 STATEMENT:** In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 CFR 1.78(e).

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

- ☐ Enter the unentered amendment previously filed on \_\_\_\_\_ under 37 CFR 1.116 in the prior nonprovisional application.
- ☐ A preliminary amendment is enclosed.
- This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).
  - ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:  
.....
  - ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
- ☐ A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
- Information Disclosure Statement (IDS) is enclosed: 10/11/2001 GTEFFERA 00000137 09243237
  - ☒ PTO-1449
  - ☒ Copies of IDS Citations.

01 FC:131	740.00 OP
02 FC:103	540.00 OP
03 FC:104	280.00 OP

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box CPA, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS (37 CFR 1.16(c) or (j))		50 -20* =	30	x \$ 18 =	\$ 540. <sup>00</sup>
INDEPENDENT CLAIMS (37 CFR 1.16(b) or (i))		2 -3** =	0	x \$ 0 =	0
MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))				+ \$ 280 =	280. <sup>00</sup>
				BASIC FEE (37 CFR 1.16)	740. <sup>00</sup>
				Total of above Calculations =	\$1,560. <sup>00</sup>
				Reduction by 50% for filing by small entity (Note 37 CFR 1.27).	
				* Reissue claims in excess of 20 and over original patent. ** Reissue independent claims over original patent.	
				TOTAL =	\$1,560. <sup>00</sup>

6. ☐ Small entity status: Applicant claims small entity status. See 37 CFR 1.27.7. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 07-1613:a. ☒ Fees required under 37 CFR 1.16.b. ☒ Fees required under 37 CFR 1.17.c. ☐ Fees required under 37 CFR 1.18.8. ☒ Check in the amount of \$ 2000.<sup>00</sup> + 480.<sup>00</sup> is enclosed. *RRG 10/6/01*9. ☐ Payment by credit card. Form PTO-2038 is attached.10. ☐ Applicant requests suspension of action under 37 CFR 1.103(b) (fee under 37 CFR 1.17(i) enclosed).11. ☐ New Attorney Docket Number, if desired \_\_\_\_\_*[Prior application Attorney Docket Number will carryover to this CPA unless a new Attorney Docket Number has been provided herein.]*12. a. ☐ Receipt For Facsimile Transmitted CPA (PTO/SB/29A)b. ☒ Return Receipt Postcard (Should be specifically itemized, See MPEP 503)13. ☐ Other: \_\_\_\_\_**NOTE:***The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below.***14. NEW CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label*(Insert Customer No. or Attach bar code label here)*or ☐ New correspondence address below

Name

PATENT TRADEMARK OFFICE

Address

City

State

Zip Code

Country

Telephone

Fax

**15. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Name (Print IType)

R. REAMS GOODLOE JR.

Signature

*R. Reams Goodloe Jr.*

Registration No. (Attorney/Agent)

32,466

Date

October 6, 2001

[Page 2 of 2]

(Continued Prosecution Application (CPA) Request Transmittal (PTO/SB/29)) [4-2.1]—page 2 of 2)



**CERTIFICATE OF MAILING**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below in an envelope as "Express Mail Post Office to Addressee" mailing Label Number **ET525570418US** addressed to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

*Rhonda Goodloe*  
Signature of Depositor

RHONDA GOODLOE

Print Name of Depositor

Date: October 8, 2001

9 RG

**IN THE UNITED STATES**

**PATENT AND TRADEMARK OFFICE**

Confirmation #	2221	)
Applicant:	MUKHOPADHYAY, Debasish	)
Serial No.:	09/243,237	)
Filed:	02/02/99	)
Title:	HIGH PURITY WATER PRODUCED BY REVERSE OSMOSIS	)
Art Unit:	1723	)
Examiner:	Fortuna, A.	)

**BOX CPA**

Assistant Commissioner for Patents  
Washington, D.C. 20231

**CONTINUED PROSECUTION APPLICATION (CPA)  
(37 C.F.R. 1.53 (d))**

1. This is a request for the filing of a

☒ continuation

☐ divisional

continued prosecution application under 37 C.F.R. § 1.53 (d)  
of the above-identified prior nonprovisional application.

It is further requested that this continued prosecution application utilize the file jacket and contents of the prior application, including the specification, drawings, and oath or declaration from the prior application, to constitute this new application, and that the application number of the above-identified prior application be assigned for identification purposes. (37 C.F.R. § 1.53 (d) (2) (iv)).

It is also requested that the above-identified prior application be expressly abandoned as of the filing date accorded this continued prosecution application. (37 C.F.R. § 1.53 (d) (2) (v)).

2. With respect to the above-identified prior nonprovisional application, this continued prosecution application is being filed:

- A. ☒ before the earliest of the:
- ☒ termination of the proceedings on the prior application;
  - ☐ payment of the issue fee on the prior application;
  - ☐ abandonment of the prior application

OR

- B. ☐ after the payment of the issue fee -- but a petition under § 1.313(b) (5) has been granted in the prior application.
- C. The term for response or taking action in the prior application expires on October 10, 2001.
- ☒ An extension of time in the prior application is:
- ☒ filed concurrently in the prior application
  - ☐ has been filed on \_\_\_\_\_.

3. It is noted that:

- This application discloses and claims only subject matter disclosed in the prior application.
- Filing of this continued prosecution application is to be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. § 122, to the extent that any member of the public, who is entitled under the provisions of § 1.14 to access to, copies of, or information concerning, either the prior application or any continuing application filed under the provisions of 37 C.F.R. § 1.53 (d), may be given similar access to, copies of, or similar information concerning the other application or applications in the file jacket.
- Filing of this request is the specific reference required by 35 U.S.C. § 120 to every application assigned the application number identified in this request. No amendment in this application may delete this specific reference to any prior application.

4. This continued prosecution application names as inventors:

- ☒ the same inventors named in the prior application on the date this continued prosecution application under 37 C.F.R. § 1.53(d)(2)(iii) is being filed.
- ☐ fewer than all the inventors named in the prior application.
- ☐ Please delete the following name(s) as inventor(s), who are not inventor(s) of the invention being claimed in this new application:  

---

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- ☐ Please add the following name(s) as inventors  

---

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- ☐ A petition under § 1.48 is attached.

5. Attached hereto is an amendment to the prior application as it existed prior to the filing of this continuation prosecution.

- ☐ Please enter in this CPA application the unentered amendment under 37 C.F.R. § 1.116 filed in the prior application.

## 6. Information Disclosure Statement

- ☒ Enclosed is an Information Disclosure Statement in accordance with the requirements of 37 C.F.R. § 1.98.

## 7. Fee Calculation

- ☒ Regular Application.

CLAIMS AS FILED			
Number Filed	Number Extra	Rate	Basic Fee 37 CFR. § 1.16 (a) \$740.00
Total Claims (37 CFR § 1.16c)) <u>50</u> - 20 =	<u>30</u>	X \$18.00	\$ <u>540.<sup>00</sup></u>
Independent Claims (37 CFR § 1.16b)) <u>2</u> - 3 =	<u>φ</u>	X \$84.00	\$ <u>—</u>
Multiple dependent claims (37 CFR § 1.16)) <u>✓</u>		+ \$280.00	\$ <u>280.<sup>00</sup></u>

- ☐ Amendment canceling extra claims is enclosed.  
☐ Amendment deleting multiple-dependencies is enclosed.  
☐ Fee for extra claims not being paid at this time.

FILING FEE CALCULATION: \$

\$1,560.<sup>00</sup> ~~\$2,480.<sup>00</sup>~~ RRG 10/6/01

- B. ☐ Design application  
 (\$330.00 - 37 C.F.R. § 1.16(f))

FILING FEE CALCULATION: \$

## 8. Assertion of Small Entity Status

- ☐ Applicant hereby asserts status as a small entity under 37 C.F.R. 1.27.
- ☐ Status as a small entity was asserted in the prior application \_\_\_\_/\_\_\_\_, filed on \_\_\_\_ from which benefit is being claimed for this application under:

35 U.S.C. § ☐ 119 (e),  
☐ 120,  
☐ 121  
☐ 365 (c),

and which status as a small entity is still proper and asserted for this application.

☐ A copy of the written assertion of small entity filed in the prior application is included.

**Filing Fee Calculation (50% of A, B, or C above).**

\$ \_\_\_\_\_

**9. Fee Payment Being Made At This Time**

☐ Not enclosed.  
☐ No filing fee is to be paid at this time.  
☐ Applicant hereby revokes the general authorization to pay fees filed in the prior nonprovisional application of which this is a CPA.

☒ Enclosed

☒ Filing Fee: \$ 1,560.<sup>00</sup>  
☐ Recording Assignment  
(\$40.00; 37 C.F.R. § 1.21 (h)) \$ \_\_\_\_\_  
(see attached "COVER SHEET FOR  
ASSIGNMENT ACCOMPANYING NEW  
APPLICATION")

**TOTAL FEES ENCLOSED:**

\$ 1,560.<sup>00</sup>  
920.<sup>00</sup>  
2,480.<sup>00</sup>

**10. Method of Payment of Fees**

☒ Attached is a check in the amount of \$ 2000.<sup>00</sup> + 480.<sup>00</sup>  
☐ Authorization is hereby made to charge the amount of \$ \_\_\_\_\_ to Deposit Account No. \_\_\_\_\_.  
A duplicate of this transmittal is attached.

☐ Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.  
(a duplicate of this paper is attached)

**11. Authorization to Charge Additional Fees**

- ☒ The Office is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No.: 07-1613.
- ☒ 37 C.F.R. § 1.16(a), (f) or (g) (filing fees)
- ☒ 37 C.F.R. § 1.16(b), (c) and (d) (presentation of extra claims)
- ☒ 37 C.F.R. § 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)
- ☒ 37 C.F.R. § 1.17(a)(1)-(5) (extension fees pursuant to § 1.136(a)).
- ☒ 37 C.F.R. § 1.17 (application processing fees)
- ☐ 37 C.F.R. § 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 C.F.R. § 1.311(b)).

**12. Instructions as to Overpayment**

- ☒ Credit Account No.: 07-1613.

**13. Change of Correspondence Address Since Filing of Parent Application.**

Since this filing is a ☐ continuation ☐ divisional, there is attached hereto a Change of Correspondence Address so that there will be no question as to where the PTO should direct all correspondence.

Date: October 6, 2001

Phone: 253-859-9128

Fax: 253-859-8915

Customer

No.: 20793

  
SIGNATURE OF ATTORNEY

R. Reams Goodloe, Jr.  
Reg. No. 32,466

Suite 3  
10725 - S.E. 256<sup>th</sup> Street  
Kent, Washington  
98031-6426